Standards of Conduct
Order No. 717 – 18 C.F.R. § 358.7(f)
Transmission Function Employee Title and Job Description

POSITION TITLE: Daily Outage Coordinator
Coordinate power system operating activities, including switching, field crews, and equipment
outages, to ensure reliability, safety, and compliance with NERC standards. Under the direction
of the Power System Coordinator, reviews, coordinates and schedules clearance requests from
various departments and other utilities, with primary responsibility for distribution outage
coordination. Advises and assists operators in applying correct procedures to optimize system
security, personnel safety and efficiency. Develops operating guides in conjunction with the
Power System Coordinator, System Operations staff, Distribution engineering and System
Performance engineers. Accuracy of performance is required to safely execute field operations
and maintain system reliability. Provide liaison function between operations and engineering.

POSITION TITLE: Director - System Operations, Performance & Compliance
Provides leadership and direction to ensure necessary staff, policies and procedures and
culture of reliability and compliance are developed and implemented to provide safe and reliable
local and regional transmission grid operations and MP compliance with applicable NERC and
FERC regulations. Works collaboratively with other company leaders to identify relevant
federal/state regulatory/policy issues that may affect MP Transmission and works closely with
MP’s Regulatory and Strategy and Planning staff to address these issues in the appropriate
forums. Leads, develops and implements processes and practices to ensure that MP business
interests are being appropriately managed through the development and administration of
applicable transmission contracts. Requires high degree of interpersonal skills to develop and
sustain a highly productive work force, maintain key relationships, to work with staff to develop
future leaders for MP and to help set the future direction for the transmission area.

POSITION TITLE: Engineer I and Engineer Intern/Temp
Provide technical support for project planning, development, implementation, and modification;
contributes to engineering standards development balancing corporate and customer needs;
research new technology for potential corporate application. Interpersonal and communication
skills required to establish and maintain positive working relationships.
POSITION TITLE: Engineer II
Provide engineering expertise, for both internal and external customers. Services include: project planning, development, design, evaluation, implementation, modification, and documentation; develop engineering standards balancing corporate and customer needs; research new technology for potential corporate application; serve as training resource in area of expertise. Strong interpersonal and communication skills required to establish and maintain positive working relationships.

POSITION TITLE: Engineer III
Provide engineering expertise, for both internal and external customers. Also reviews and may sign off as subject matter expert for other’s work. Services include: project planning, development, design, evaluation, implementation, modification, and documentation; develop engineering standards balancing corporate and customer needs; research new technology for potential corporate application; serve as training resource in area of expertise. Strong interpersonal and communication skills required to establish and maintain positive working relationships.

POSITION TITLE: Engineer Senior
Provides technical leadership and direction for engineering staff in area of expertise to assure application of sound engineering practices in a cost-effective manner to enhance customer operations, maintain reliability of systems and safety for MP employees and the public. Performs administrative work relating to projects and assignments; acts as staff advisor and technical consultant within and outside assigned department in area of expertise. Promotes positive change in Minnesota Power business strategy through achievement of customer specific objectives and technological innovation of practical and profitable nature, (i.e., stakeholders benefit). Requires high level of interpersonal skills to establish and maintain positive and productive working relationships.

POSITION TITLE: Manager - Power Delivery and Asset Management
The Manager of Power Delivery and Asset Management will manage and provide leadership for the activities of the electrical/civil/structural engineers in Minnesota Power’s Transmission & Substation Engineering departments to ensure the delivery of safe, reliable, cost effective, and timely engineering services. Promotes positive change in Minnesota Power business strategy through achievement of customer specific objectives and technological innovation of practical and profitable nature.
POSITION TITLE: Manager - Regional Transmission Planning
Provides leadership and collaborates closely with peer groups that are responsible for related portions of the expansion of the transmission system and the interconnection of generation facilities, wholesale load, and new transmission facilities such as Strategy & Planning, Transmission & Distribution Engineering, Grid Interconnection & Contract Development, Project Management, among others. Meets regularly with Minnesota Power (MP) Operations & Strategy senior management and works extensively with internal stakeholder groups including but not limited to, Resource Planning, Regulatory Affairs, Engineering and Enterprise Management teams to determine and lead strategic methods to meet Company initiatives. Interacts with external stakeholders such as the Minnesota Independent System Operator (MISO) as part of our annual transmission planning process.

POSITION TITLE: Manager – Transmission System Operational Planning
Provides leadership to ensure safe and reliable local and regional transmission grid operations including the safe operation of High Voltage Direct Current (HVDC) facilities and Flexible Alternating Current Transmission System (FACTS) devices. Engages with emerging business issues that affect Minnesota Power (MP) Transmission, represents MP within applicable Regional Transmission Organization (RTO) engagements and provides leadership in developing and advocating the MP Transmission strategy among all stakeholder forums. Responsible for assuring the development and integration of operational plans which fulfill MP business strategies and regulatory requirements relating to system operations and performance. Serves on or oversees those serving on applicable transmission committees that affect transmission operations ensuring MP interests are represented. Requires high degree of interpersonal skills to develop and sustain a highly productive work force and maintain key relationships.

POSITION TITLE: Operations Compliance & Technology Specialist I
Provide leadership, direction, and guidance to ensure reliability of substation and Operations Technology (OT) by developing, implementing, and overseeing all aspects of the associated cyber technology. Act as liaison within Cyber Technology Services. Perform research and stays current on industry cyber practices, technologies, and systems. Ensures that technology training for users is developed, deployed, and objectives followed. Design, implement, and document standardized controls including remote system access, communication configurations, recovery plans, and vendor support. Evaluate, recommend, monitor, and maintain design standards, guidelines, and procedures used to operate cyber systems. Support operational cyber efforts to
ensure all regulatory standards are adhered to including North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP). Leadership, continuity, and guidance to build knowledge of the organizations, processes, and customers while developing proficiency and skills within discipline. Requires high level of interpersonal and communication skills to establish and maintain positive working relationships.

**POSITION TITLE: Operations Compliance & Technology Specialist II**
Provide leadership, direction, and guidance to ensure reliability of substation and Operations Technology (OT) by developing, implementing, and overseeing all aspects of the associated cyber technology. Act as liaison within Cyber Technology Services. Perform research and stays current on industry cyber practices, technologies, and systems. Ensures that technology training for users is developed, deployed, and objectives followed. Design, implement, and document standardized controls including remote system access, communication configurations, recovery plans, and vendor support. Evaluate, recommend, monitor, and maintain design standards, guidelines, and procedures used to operate cyber systems. Support operational cyber efforts to ensure all regulatory standards are adhered to including North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP). Leadership, continuity, and guidance to build knowledge of the organizations, processes, and customers while developing proficiency and skills within discipline. Requires high level of interpersonal and communication skills to establish and maintain positive working relationships.

**POSITION TITLE: Power System Coordinator**
Coordinates certain system operating activities to ensure reliability, safety and compliance with NERC standards. Under general direction, reviews, coordinates and schedules clearance requests from various departments and other utilities. Advises and assists operators in applying correct procedures to optimize system security, personnel safety and efficiency. Develops operating guides in conjunction with System Performance engineers. Accuracy of performance is required to avoid injury or death to personnel, blackouts, major economic losses and adverse public relations. Provides liaison function between operations and engineering. May be required to fill in as a System Operator.

**POSITION TITLE: SCADA Systems Analyst II**
Provides moderately complex analysis and maintains SCADA system functionality to support and/or enhance system platforms under moderate guidance. Facilitates system modifications, as well as investigating design approaches. Ensures the integrity of data and performs duties
with a high level of accuracy to ensure safe and reliable operation of the MP electrical system.  
Serves as a liaison to operations, engineering, and field technicians in facilitating EMS updates.  
Provides compliance support for MRO documentation. Analyzes possible solutions using standard procedures and solves a range of straightforward problems. Assures that all work meets company, industry and regulatory standards. Requires good communication skills to maintain positive working relationships.

**POSITION TITLE: Shift Supervisor, System Operations**

Supervises and operates the Minnesota Power Transmission, Distribution, Generation and Control Area systems to maintain a reliable Bulk Electric System. Provides leadership for assigned shift and System Operations. Has the authority and is responsible for directing the work of System Operators on shift and completing their performance reviews. Provides input and is directly involved in any decisions regarding hiring/firing and disciplinary actions. In conjunction with the Trainer, ensures that Operators are meeting their required training.

Supervise and coordinate electric operations with the Midwest Independent System Operator and other interconnected systems. Directs field and plant personnel in performance of their functions as it relates to the Bulk Electric and Distribution Systems. Directs and coordinates real-time activities with various departments within Minnesota Power to meet safety, reliability, economic and strategic goals and objectives. Requires strong interpersonal skills to establish and maintain positive working relationships inside and outside the Company.

**POSITION TITLE: Supervising Engineer**

Provide supervisory leadership and engineering expertise to engineering group which offers technical services to Company, customers, and industry organizations. High level of interpersonal and communication skills required to establish and maintain positive working relationships inside and outside Company. Promotes positive change in Minnesota Power business strategy through achievement of customer specific objectives and technological innovation of practical and profitable nature, (i.e., stakeholders benefit). Requires high level of interpersonal skills to establish and maintain positive and productive working relationship.

**POSITION TITLE: Supervisor, Relay & Electronics**

Under general direction, provides leadership on a day-to-day basis for staff (including electrical and mechanical crafts and instrument and control area, and/or crews engaged in testing, installation, maintenance, and repair of electronic, relaying and or communication systems) including planning and scheduling of personnel, inspection of jobs, and assuring adherence to
specifications and safety rules. Oversees and manages outside contracts. Effective performance required to meet project deadlines, comply with government regulations, provide productive use of personnel, and to avoid equipment damage, service interruption, hazard to human life, and adversely affecting customer relations. Requires effective communication skills to establish and maintain productive working relationships with a variety of contacts.

POSITION TITLE: Supervisor, System Operations
Provides leadership, direction, and supervision of the operation of the Minnesota Power Transmission System and Balancing Area to maintain reliable, secure, electrical energy systems and continuous generation/load balance. Coordinates the training requirements and prepares work schedules for the MP System Operators. Meets customer needs and Company economic requirements thru coordination with other departments, outside organizations, and customers. Directs MP System Operators in the performance of their job duties. Assures that Balancing Area load forecasts and generation needs are met. Assures that departmental and field personnel are fully trained and qualified to operate designated systems. Requires high level of interpersonal skills to establish and maintain positive and productive working relationships.

POSITION TITLE: System Operations Compliance Specialist
Assist in administration of Minnesota Power's compliance requirements relating to North American Electric Reliability Corporation (NERC) and Midwest Reliability Organization (MRO) compliance standards. Monitor, analyze, and report on NERC and MISO Standards and Business Practices to assure Minnesota Power is compliant with current requirements related to Operations and that Minnesota Power is prepared to comply with future requirement additions or changes. Provide support in the development of NERC and MRO reliability standard documentation to support compliance. Excellent communication, project management, coordination and cooperation skills are required to be effective. Requires a highly self-motivated individual with strong interpersonal communication skills to establish and maintain working relationships through the company and with regulatory agencies.

POSITION TITLE: System Operator
Under general direction operates the Minnesota Power Transmission, Distribution, Generation and MP Control Area Systems to maintain reliable, secure electrical energy systems, to meet customer needs and economic requirements, and to coordinate operations with interconnected systems. Directs and coordinates activities with service dispatch function. Requires
interpersonal skills to establish and maintain positive working relationships inside and outside the Company.

POSITION TITLE: Trainer, System Operations
Ensures that training programs are developed, documented, implemented, and maintained to meet demands of current and changing system conditions, regulations, and requirements and that departmental personnel are fully trained and qualified to operate designated systems. Provides guidance to various operations functions in performance of their job duties. Performs a variety of activities relating to operations training including ensuring properly trained and qualified system operators, documentation of training activities, and development of new training activities to ensure compliance with applicable rules and regulations including NERC PER-005, OSHA, MRO, MP Safety, Etc. Develops and coordinates Dispatcher Training Simulator exercises and other drills to meet MP training objectives and NERC requirements. Provides training to MP personnel involved in switching and tagging on the MP transmission and distribution system. May fill in on shift as needed to provide continuity and training opportunities to operators. Requires high level of interpersonal skills to establish and maintain positive and productive working relationships.